



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TC 2800 MAIL ROOM

PATENT APPLICATION

Inventor(s):

Christoph Georg Erben

Douglas M Gill **Howard Edan Katz**

Mark Lee

Case:

1-6-43-2

Serial No.:

10/032798

Filing Date:

December 26, 2001

Examiner:

Group Art Unit:

2873

Title:

Electro-Optical Modulators

ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D. C. 20231

SIR:

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(b)

In accordance with 37 CFR 1.97(b), the enclosed Information Disclosure Statement, with attached reference(s), is submitted for consideration in the above-identified application.

Copies of the listed documents are enclosed.

NO FEE IS REQUIRED

In the event of any non-payment or improper payment of a required fee, the Commissioner is authorized to charge or to credit Lucent Technologies Deposit Account No. 12-2325 as required to correct the error.

Respectfully

John F. McCabe, Attorney

Reg. No. 42854 908-582-6866

Date: 10/28/2002

Docket Administrator (Room 3J-219)

Lucent Technologies Inc. 101 Crawfords Corner Road Holmdel, NJ 07733-3030

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mall in an envelope addressed to: Commissioner of Patents and Trademarks, Winton, D.C., 20231, on 1905-2012